

01/19/01

J0803 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
FQ5-515

J0803 U.S. PTO

09/764283

01/19/01

**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Tsugio OKAMOTO

corresponding to Japanese application 009602/2000, filed  
January 19, 2000,

entitled: SHAPER AND SCHEDULING METHOD FOR USE IN THE SAME

## Enclosed are:

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 23 pages of specification.  |
| <input checked="" type="checkbox"/> | 11 sheets of formal drawings.   |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor.   |
| <input type="checkbox"/>            | a copy of an executed declaration of the inventor from prior application<br>Serial No. , filed .  |
| <input type="checkbox"/>            | incorporation by reference. The entire disclosure of the prior application,<br>from which a copy of the oath or declaration is supplied as indicated in the<br>preceding box, is considered as being part of the disclosure of the accom-<br>panying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC CORPORATION, including assignment<br>cover sheet.   |
| <input type="checkbox"/>            | Information Disclosure Statement with Form PTO-1449.  |
| <input type="checkbox"/>            | copies of the Information Disclosure Statement citations.   |
| <input type="checkbox"/>            | preliminary amendment.  |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized.  |
| <input type="checkbox"/>            | applicant claims small entity status under 37 CFR 1.27.   |
| <input type="checkbox"/>            | a certified copy of the Priority Document.  |
| <input checked="" type="checkbox"/> | other: Application Data Sheet .   |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite informa-  
tion.☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)

of prior application No. , filed .

<input checked="" type="checkbox"/>	Customer No. 000466.
<input checked="" type="checkbox"/>	Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202.
<input checked="" type="checkbox"/>	Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**  
(continued)

Docket No.  
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**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 710	\$ 710
TOTAL CLAIMS	12 - 20 =	0	x\$ 18	0
INDEPENDENT CLAIMS	3 - 3 =	0	x\$ 80	0
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 270	

**TOTAL** \$ 710

If applicant has small entity status under 37  
CFR 1.9 and 1.27, then divide total fee by 2,  
and enter amount here.

**SMALL ENTITY  
TOTAL**

\$

☒

A check in the amount of \$750 to cover the filing fee is enclosed.

☒

The Commissioner is hereby authorized to charge indicated fees and credit any over-  
payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as  
described below. A duplicate copy of this sheet is enclosed.

☐

Charge the amount of \$ as filing fee.

☒

Credit any overpayment.

☒

Charge any additional fee required under 37 CFR 1.16 and 1.17, during  
the pendency of this application.

☐

Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of  
Allowance.



Robert J. Patch  
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January 19, 2001